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EXAMINER

CHANG, SUNRAY

ART UNIT PAPER NUMBER

2121

DATE MAILED: 11/10/2004

Please find below and/or attached an Office communication concerning this application or proceeding.

## Office Action Summary

**Application No.**

09/667,709

**Applicant(s)**

GILLIS, PHILIP WILLIAM

**Examiner**

Sunray Chang

**Art Unit**

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-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --  
**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

### Status

- 1) ☒ Responsive to communication(s) filed on 27 July 2004.  
2a) ☒ This action is **FINAL**. 2b) ☐ This action is non-final.  
3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

### Disposition of Claims

- 4) ☐ Claim(s) \_\_\_\_\_ is/are pending in the application.  
4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.  
5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.  
6) ☒ Claim(s) 1-30 is/are rejected.  
7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.  
8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

### Application Papers

- 9) ☐ The specification is objected to by the Examiner.  
10) ☒ The drawing(s) filed on 22 September 2000 is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).  
11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

### Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).  
a) ☐ All b) ☐ Some \* c) ☐ None of:  
1. ☐ Certified copies of the priority documents have been received.  
2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.  
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).  
\* See the attached detailed Office action for a list of the certified copies not received.

### Attachment(s)

- 1) ☐ Notice of References Cited (PTO-892)  
2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)  
3) ☐ Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)  
Paper No(s)/Mail Date \_\_\_\_\_.  
4) ☐ Interview Summary (PTO-413)  
Paper No(s)/Mail Date \_\_\_\_\_.  
5) ☐ Notice of Informal Patent Application (PTO-152)  
6) ☐ Other: \_\_\_\_\_.

**DETAILED ACTION**

1. Claims 1 – 30 are presented for examination.

Claims 1 – 30 are rejected.

**Claim Rejections - 35 USC § 102**

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

3. Claim 1 – 30 are rejected under 35 U.S.C. 102(b) as being anticipated by Child et al.  
(U.S. Patent No. 5,121,475, and referred to as Child hereinafter).

4. **Regarding independent claims 1, 11 and 21,**

- A simulation process;

Child teaches, an error log request which has been generated by a component of a communication software system is analyzed and compared to entries in one of a plurality of records in a message look-up table. [Col. 1, Line 55 ~ 58, and Abstract]

- Receiving a message (error log request) from a system (a component of a communication software system);

Child teaches “**error log request** which has been generated by a **component of a communication software system.**” (Col. 1, Line 55 – 56 and Fig. 6)

- Comparing (**compared**) the received message (**entries**) to information (**plurality of records**) stored in a response file (**message look-up table**) used to simulate system response (**analyzed**),

Child teaches an error log request which has been generated by a component of a communication software system is **analyzed and compared** to **entries** in one of a **plurality of records** in a **message look-up table**. (Col. 1, Line 55 – 58, and Fig. 6)

- The response file (**message look-up table**) including at least one message (**one of a plurality of records**),

Child teaches an error log request which has been generated by a component of a communication software system is analyzed and compared to entries in one of a **plurality of records** in a **message look-up table**. (Col. 1, Line 55 – 58, and Fig. 6)

- A message marker (**message number**) associated with each **message**,

Child teaches if the message is to be displayed, the message handler retrieves the **message** identified by the **message number** from the operating system message file.

(Col. 4, Line 52 – 55, and Col. 5, 46 – 55)

- At least one response associated with (**Type, Subtype**) each message, and an end-of-response marker (**Length**) associated with each response;

Child teaches at least one response associated with each message (**Type**, **Subtype**, Fig. 3), and an end-of-response marker associated with each response (**Length**, Fig. 4, Fig. 5);

- Simulating (**analyzed and compared to**) a response to the system message (**an error log request**)

Child teaches **an error log request** which has been generated by a component of a communication software system is **analyzed and compared to** (Col. 1, Line 55 – 57, and 62 – 64)

- By outputting (**displayed**) a response (**message**) stored in (**logged to**) association with a stored message (**error record**) matching (**comparison**) the received message (**error record**), upon the received message matching (**comparison**) a message stored in the response file (**entries in the message look-up table**),

Child teaches the error log request handler then assembles an error record from the information contained in the control block and **stores the error record into the error log file**. After the **error record** has been **assembled** and **logged**, the error log request handler performs a **comparison** of the record with **entries in the message look-up table** to determine if a message should be generated as a result of the error log request. (Col. 4, Line 24 – 32, and Col. 5, Line 46 – 55)

Child teaches the **message** to be **displayed**. (Col. 4, Line 52, and Col. 5, Line 59 – 63)

- Wherein upon at least two responses being stored in association with a message,

Child teaches wherein upon at least two responses (**DATA1 – 3, Fig. 3**) being stored in association with a message (**MSG #, Fig. 3**),

- The at least two responses (**DATA 1 – 3**) are sequentially (**queue**) output (**log display request**) in response to sequential receipt (**queue**) of the message (**message/error log**).

Child teaches at least two responses (**DATA1 – 3, Fig. 3**)

Child teaches **Error log requests** and **message log/display requests**, which are generated by the components of the communication software system, are placed into a **message/error log queue**. (Col. 4, Line 17 – 21, and Col. 5, Line 57 – 63)

5. Regarding dependent claims 2, 12 and 22,

Child teaches the simulation process occurs within the system (Fig. 1).

6. Regarding dependent claims 3, 13 and 23,

Child teaches the simulation process (**analysis**, Col. 1, Line 19) occurs within a device (**component**, Col. 1, Line 18) separate from, but operatively (**services or functions**, Col. 1, Line 20) connected to the system (**Communication Software System**, Col. 1, Line 17).

7. Regarding dependent claims 4, 14 and 24,

Child teaches the response file (**a look-up table** which is a part of an error request handler, Col. 3, Line 40 ~ 41) includes at least one autonomous response (**forwards data** to be inserted into a message to be generate and displayed to the user, Col. 3, Line 48 ~ 50), the autonomous response is output a predetermined time (**frequently monitored**, Col. 1, Line 42) after simulation begins, irrespective of a received message (**forwards data** to be inserted into a message to be generate and displayed to the user, Col. 3, Line 48 ~ 50).

8. Regarding dependent claims 5, 15 and 25,

Child teaches the response file (**a look-up table** which is a part of an error request handler, Col. 3, Line 40 ~ 41) includes at least one autonomous response (**forwards data** to be inserted into a message to be generate and displayed to the user, Col. 3, Line 48 ~ 50), the autonomous response is periodically output (**frequently monitored**, Col. 1, Line 42) irrespective of a received message. (**forwards data** to be inserted into a message to be generate and displayed to the user, Col. 3, Line 48 ~ 50).

9. Regarding dependent claims 6, 16 and 26,

Child teaches the response file (**message look-up table**, Line 4, Abstract) includes at least two different messages (**Records**, Line 4, Abstract), each associated with at least one response (**match between the field of the error log request and selected entries of a record in the look-up table**, Line 4 – 6, Abstract).

10. Regarding dependent claims 7, 17 and 27,

Child teaches storing a record of a received message (**logging the error information**, Col. 1, Line 26), wherein upon a message being received a second time (**there are other records**, Col. 5, Line 48), either a second response stored (**logging the error information**, Col. 1, Line 26) in association with the received message is **output (display and/or logging of the message to the user**, Col. 5, Line 62), or the first response is again **output (display and/or logging of the message to the user**, Col. 5, Line 62) if no second response is stored in association with the received message (determines whether the end of the message look-up table has been attained or if a match has been found, Col. 5, Line 46 ~ 48).

11. Regarding dependent claims 8, 18 and 28,

Child teaches sequential responses stored in the response file (placed into a message/error log **queue**, Col. 4, Line 20) in association with (**assembled and logged**, Col. 4, Line 28) a common message (**error record**, Col. 4, Line 27) are sequentially output (are placed into a message/error log **queue**, Col. 4, Line 20) upon successive receipt (**are placed into**, Col. 4, Line 20) of the common message (**error record**, Col. 4, Line 27).

12. Regarding dependent claims 9, 19 and 29,

Child teaches sequential responses stored in the response file (placed into a message/error log **queue**, Col. 4, Line 20) in association with (**assembled and logged**,



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Col. 4, Line 28) a common message (**error record**, Col. 4, Line 27) are sequentially output (are placed into a message/error log **queue**, Col. 4, Line 20) upon successive receipt (**are placed into**, Col. 4, Line 20) of the common message (**error record**, Col. 4, Line 27).

13. Regarding dependent claims 10, 20 and 30,

Child teaches the response file (**error log file**, Col. 4, Line 27) is created using a log file (**error log file**, Col. 4, Line 27) of the system.

**Response to Amendment**

**Claim Rejections - 35 USC § 102**

14. Regarding independent claims 1, 11, and 21, Applicants' argument regarding "Child is directed to dynamic message generation, and not to a method of simulation based on stored responses" (Page 8, line 8 – 10) is respectfully disagreed with. Child anticipates that an error log request which has been generated (stored response) by a component of a communication software system is analyzed and compared to (method of simulation) [Col. 1, Line 55 ~ 58] as set forth in independent claim 1 of the current rejection.

Further, Child teaches, "the depth of the interaction between the user and the computer system varies by the type of operating system and the application program", and also Fig. 6, there are several different matches between messages and responses.

Applicants' argument further regarding "Child cannot disclose or suggest a response stored in association with a stored messages" (Page 8, line 18 – 19) is respectfully disagreed

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with. Child anticipates that an error log request which has been generated by a component of a communication software system is analyzed and compared to entries (stored message) in one of a plurality of records in a message look-up table (response stored) [Col. 1, Line 55 ~ 58], as set forth in independent claim 1 of the current rejection.

15. Since applicant has not provided a separate argument for dependent claims 2 – 10, 12 – 20, and 22 – 30, the response with respect to independent claims 1, 11, and 21 is considered sufficient.

**Conclusion**

16. **THIS ACTION IS MADE FINAL.** Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of this final action.

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17. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Sunray Chang whose telephone number is (571) 272-3682. The examiner can normally be reached on M-F 7:00-4:30.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Anthony Knight can be reached on (571) 272-3687. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is 703-746-3506.

Sunray Chang  
Patent Examiner  
Group Art Unit 2121  
Technology Center 2100  
U.S. Patent and Trademark Office

November 4, 2004

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